# **Clinical Research**

# Genetic risk factor APOEɛ4 associates with plasma amyloid beta in amnestic mild cognitive impairment and alzheimer's disease

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#### ABSTRAK

**Latar belakang:** APOE $\varepsilon$ 4 merupakan faktor risiko genetik yang kuat untuk kejadian demensia Alzheimer (DA), di mana pada penyakit ini terjadi penurunan bersihan beta amiloid (A $\beta$ ) di otak dan plasma. Pemahaman terhadap kaitan patogenik potensial antara APOE $\varepsilon$ 4 dan A $\beta$  plasma dapat membantu identifikasi dini mereka yang berisiko terkena DA. Penelitian ini bertujuan mengetahui hubungan APOE $\varepsilon$ 4 dengan kadar A $\beta$ di dalam plasma pada hendaya kognitif ringan tipe amnestik (HKRa) dan DA.

**Metode:** Penelitian ini merupakan studi potong lintang pada populasi pasien yang datang ke klinik memori Siloam Hospitals Lippo Karawaci dalam kurun waktu 2013-2014. Subyek dikelompokkan menjadi tiga kelompok, yaitu (1) kognisi normal, (2) HKRa, dan (3) demensia Alzheimer. Dilakukan pemeriksaan APOE $\varepsilon$ 4 dan A $\beta_{40}$  serta A $\beta_{42}$  di plasma. Analisis data dilakukan dengan menggunakan metode uji korelasi dan regresi logistik.

**Hasil:** Sejumlah 60 subyek (kognisi normal = 23, HKRa = 17, DA = 20) memenuhi kriteria penelitian. Terdapat 19 (31,7%) subyek dengan APOEɛ4 positif. Peluang subyek dengan APOEɛ4 positif untuk terjadi DA 3,9 kali dibandingkan subyek dengan APOEɛ4 negatif. Ditemukan perbedaan bermakna rerata kadar A $\beta_{40}$  plasma pada kelompok HKRa dibandingkan dengan kelompok DA. Korelasi antara APOEɛ4 (+) dengan peningkatan A $\beta_{42}$  di plasma juga signifikan (p<0,05).

**Kesimpulan:** Terdapat korelasi antara APOE $\epsilon$ 4 dengan kadar  $A\beta_{42}$  di plasma yang mendukung hipotesis bahwa materi genetik bentuk isoform ini mempercepat perkembangan DA melalui jalur yang bergantung  $A\beta$ .

#### ABSTRACT

**Background:** APOE $\varepsilon$ 4 is a strong genetic risk factor for Alzheimer's disease (AD). AD itself has been associated with reduced A $\beta$  clearance from the brain and plasma. Understanding the potential pathogenic link between APOE $\varepsilon$ 4 and plasma A $\beta$  might allow for earlier identification of people at risk of developing AD. The aim of this study is to find out the correlation between APOE $\varepsilon$ 4 and plasma A $\beta$  in amnestic mild cognitive impairment (aMCI) and AD patients.

**Methods:** This is a comparative cross-sectional study of patients attending a memory clinic in Siloam Hospital Lippo Karawaci, Tangerang, during the period of 2013-2014. Subjects were categorized into three categories: normal aging, aMCI, and AD. We performed blood test to examine APOE $\epsilon$ 4, plasma A $\beta_{40}$  level, and plasma A $\beta_{42}$  level. All data analyses were performed using correlation test and logistic regression.

**Results:** Sixty subjects (normal aging = 23, aMCI = 17, AD = 20) were included. There were 19 (31.7%) subjects with APOEε4 positive. Subjects carrying ε4 allele were more likely to have AD by 3.9-fold than subjects with APOE ε4 allele negative. There is a significant difference between the mean of plasma  $A\beta_{40}$  in aMCI group and AD group. We also found correlation between APOEε4 (+) and higher plasma  $A\beta_{42}$  (p<0.05).

**Conclusion:** There is a correlation between APOE $\epsilon$ 4 and plasma A $\beta_{42}$  level, which supports the hypothesis that this genetic isoform accelerates the rate and progression of AD through A $\beta$ -dependent pathways.

Keywords: alzheimer's disease, amnestic mild cognitive impairment, APOEɛ4, plasma amyloid beta

pISSN: 0853-1773 • eISSN: 2252-8083 • http://dx.doi.org/10.13181/mji.v25i1.1255 • Med J Indones. 2016;25:44–50 • Received 16 Jun 2015 • Accepted 08 Feb 2016

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Alzheimer's disease (AD) is the most common cause of dementia in the elderly. It is approximately occurred in 13% of people over the age of 65 to 45% people over the age of 85.<sup>1</sup> One of the strongest pathomechanism of AD is amyloid cascade hypothesis that points to the imbalance between production and clearance of amyloid- $\beta$ (A $\beta$ ) peptides in the brain which results in accumulation and aggregation of A $\beta$  in the form of soluble A $\beta$  oligomers, intraneuronal A $\beta$ , and amyloid plaques injure synapses, and ultimately leads to neurodegeneration and dementia.<sup>2,3</sup>

The strongest risk factor for AD is the  $\epsilon$ 4 allele of the apolipoprotein E (APOE).<sup>4-6</sup> There are three polymorphic alleles of APOE gene:  $\epsilon$ 2,  $\epsilon$ 3, and  $\epsilon$ 4, which APOE $\epsilon$ 3 allele is the most frequent in worldwide population (77.9%), followed by  $\epsilon$ 4 (13.7%), and  $\epsilon$ 2 (8.4%).<sup>7</sup> Risk of AD was increased in individual with one copy of  $\epsilon$ 4 allele (heterozygote) and higher in two copies (homozygote).<sup>7</sup> APOE $\epsilon$ 4 is associated with lower age of AD onset. Mean age at clinical AD onset in  $\epsilon$ 4 homozygote,  $\epsilon$ 4 heterozygote, and  $\epsilon$ 4 noncarriers are 68 years, 76 years, and 84 years, respectively.<sup>4,8</sup>

Mild cognitive impairment (MCI) is a transitional stage between normal cognitive function and dementia. Amnestic MCI (aMCI) is associated with increased risk of AD,<sup>9</sup> is estimated to progress into clinically diagnosable AD in 10–15% of cases per year, compared to a rate of 1–2% per year among healthy elderly individuals.<sup>10</sup> APOEE4 affects memory performance in people with MCI,<sup>11,12</sup> both in middle age (40-59 years) and elderly (60-85 years) people.<sup>13,14</sup> In addition, MCI patients with APOE ɛ4 experience more-rapid decline in several cognitive and functional assessments,<sup>12,15,16</sup> which leads to increased risk of progression from MCI to AD-type dementia.<sup>17-19</sup> Consistent with younger age of AD onset in individuals with APOEE4, it also applies in aMCI.<sup>20</sup> These findings indicate that the APOE<sub>ε4</sub> genotype in patients with MCI can serve as a predictive factor for determination of clinical outcome and the risk of conversion to AD.21

APOE provides instruction to make a protein called Apo lipoprotein E- lipoproteins bind to several cell-surface receptors to deliver lipids and also to hydrophobic A $\beta$  peptide, which is thought to initiate toxic events that lead to synaptic dysfunction and neurodegeneration in AD.<sup>21</sup> APOE isoforms differentially regulate A $\beta$  aggregation and clearance in the brain, and have distinct functions in regulating brain lipid transport, glucose metabolism, neuronal signalling, neuroinflammation, and mitochondrial function.<sup>21,22</sup> Immunohistological evidence demonstrates that APOE is co-deposited in senile plaques in the brains of AD patients.<sup>23</sup> The AB deposition in the form of senile plaques is more abundant in APOEE4 carriers compared with noncarriers.<sup>24-26</sup> Furthermore, APOEɛ4 carriers have lower cerebrospinal fluid (CSF)  $A\beta_{42}$  level<sup>27,28</sup> yet the relationship between plasma  $A\beta$  and APOE $\epsilon$ 4 remains inconclusive.29-32

In the present report, we studied the correlations between genetic risk factor APOEɛ4 with plasma amyloid beta level in both aMCI and AD.

# **METHODS**

Subjects in this study were patients who visited memory clinic of Siloam Hospital Lippo Village from September 2013 to September 2014. We included all subjects who fulfilled inclusion criteria: 1) age >60 years old, 2) able to speak Bahasa Indonesia or English (premorbid), 3) able to read and write (premorbid). We excluded subjects with impaired renal function and subjects with major vascular lesions or other structural lesions in magnetic resonance imaging (MRI).

Subjects were categorized into three clinical diagnoses: normal aging, amnestic mild cognitive impairment, and AD. We performed physical, neurological, and neurobehavioral examinations including mini mental status examination (MMSE), montreal cognitive assessment (MoCA), and consortium to establish a registry of Alzheimer's disease (CERAD). We used AD-8 questionnaire and instrumental activity daily living (IADL) to determine impairments on activities of daily living. We determined severity of AD using Clinical Dementia Rating Scale (CDRS) and Global deterioration Scale (GDS). MRI test was executed on subjects who had stroke history or suspected to have other causes of dementia.

We performed blood test to examine ureum, creatinine, APOEe4 with restriction fragment length polymorphism, plasma  $A\beta_{40}$  level, and plasma  $A\beta_{42}$  level. The concentration of  $A\beta$ 

peptides ( $A\beta_{40}$  and  $A\beta_{42}$ ) in plasma was measured using commercially available sandwich ELISA assay (IBL International GmbH, Hamburg, Germany) which has been shown to be sensitive enough 1.56–100 pg/mL.

All data analyses were performed using SPSS statistics (IBM Corp. Released 2011, version 20 Armonk, NY, US). The measures were expressed as mean ± standard deviation. We evaluated the

association between two qualitative variables using chi square test and the association between qualitative variable and quantitative variable using t test. We performed the correlation test and logistic regression. A probability value less than 5% was considered statistically significant. The protocol of this study has been approved by Mochtar Riady Institute of Nanotechnology (No. 083/MRIN-EC/09/2014). We obtained written informed consent from all patients.

Charact characteristics	n (%)	AD (n=20)	aMCI (n=17)	HC (n=23)	p*
		Mean±SD	Mean±SD	Mean±SD	
Age group (years)		75.4±5.57	69.3±6.7	68.1±5	< 0.001
60–69	26 (43.3)				
70-79	29 (48.3)				
<sup>3</sup> 80	5 (8.4)				
Sex					0.492
Female	18 (30)	15	7	17	
Male	42 (70)	5	10	6	
Education level (years)		11.3±4.1	13.1±3.5	13.78±3.2	0.08
<6	7 (11.7)				
7–12	24 (40)				
>12	29 (48.3)				
Hipertension					0.926
Yes	25 (41.7)	9	7	9	
No	35 (58.3)	11	10	14	
Diabetes mellitus					0.791
Yes	12 (20)	5	3	4	
No	48 (80)	15	14	19	
Family history of AD					0.135
Yes	8 (13.3)	5	2	1	
No	52 (86.7)	15	15	22	
Genotip APOE (ε4+/ ε4-)		9/11	6/11	4/19	0.03
ΑΡΟΕε4/4	4 (6.7)				
ΑΡΟΕε3/4	13 (21.7)				
APOEe2/4	2 (3.3)				
ΑΡΟΕε3/3	36 (60)				
APOEe2/3	5 (8.3)				
Plasma amyloid level (Mean±SD)					
Plasma $A\beta_{40}$ level	119.97±100.91	151.6±126	87.2±70.7	116.8±90.3	0.151
Plasma A $\beta_{42}$ level	11.86±33.59	19.03±57.1	6.10±4.1	9.89±11.2	0.483
Plasma $A\beta_{42}/A\beta_{40}$ ratio	0.119±0.204	0.12±0.24	0.1±0.08	0.13±0.24	0.864

#### Table 1. Subject characteristics

AD= Alzheimer's disease; aMCI= amnestic mild cognitive impairment; HC= healthy control; \*For categorical variables, Pearson chi-square was used to compute the p value. For continuous variables, one-way analysis of variance was used to compute the p value

### RESULTS

A total of 80 subjects in memory clinic were evaluated in which 65 patients among them met our inclusion criteria. We excluded five subjects due to a major infarct in MRI findings (one subject) and the impairment of renal function (four subjects). Sixty subjects were eligible for further step analyzing apo-E polymorphism and plasma amyloid beta ( $A\beta_{40}$  and  $A\beta_{42}$  level). Diagnosis is divided into three groups: AD = 20, aMCI = 17, and normal cognition = 23 subjects. Forty two subjects were women (70%) and unlike common demographic profile in Indonesia, half of the subjects had high educational level (>12 years of formal education) (Table 1).

There is a significant association between APOEe4 genotype with cognitive function, particularly in HC versus AD group (Table 2). Subjects carrying the  $\epsilon$ 4 allele were more likely to have AD by 3.9 times compared to non-carriers. This likelihood is even higher in subjects with age-group  $\leq$ 70 years (OR=13).

Level of  $A\beta_{40}$  and  $A\beta_{42}$  plasma in AD is the highest compared to aMCI and HC, while the  $A\beta_{42}/A\beta_{40}$  ratio in this group is the lowest (Table 3). We found a significant difference between the mean of plasma  $A\beta_{40}$  in HC versus AD group (p<0.05).

We analyzed the mean difference of plasma A $\beta$  level in APOEɛ4(+) carrier compared to noncarrier and found it significant in level of A $\beta_{42}$  plasma (Table 4). When we divided the subjects into two groups (higher level of A $\beta$  and lower level of A $\beta$ ) based on certain cut off points, we found significant correlation between APOEɛ4(+) and higher A $\beta_{42}$  plasma level (Table 5).

**Table 4.** Mean difference in subjects with APOE $\epsilon$ 4+ and APOE $\epsilon$ 4-

	APOEε4+ (mean±SD)	APOEε4- (mean±SD)	р
Plasma A $\beta_{40}$ (pg/mL)	105.17±56.8	126.08±116.5	0.630
Plasma Aβ <sub>42</sub> (pg/mL)	8.57±6.2	6.11±4.8	0.04
$A\beta_{42}/A\beta_{40}$ ratio	$0.10 \pm 0.07$	$0.07 \pm 0.06$	0.28

**Table 5.** Correlation between plasma A $\beta$  and APOE $\epsilon$ 4

	OR	95% CI
Plasma A $β_{40}$ (pg/mL)* vs APOEε4	0.742	0.22-2.50
Plasma A $\beta_{42}$ (pg/mL) <sup>+</sup> vs APOE $\epsilon$ 4	3.487	1.06-11.46
$A\beta_{42}/A\beta_{40}$ ratio <sup>‡</sup> vs APOEɛ4	3.704	1.15-11.92

\*Cut off point for  $A\beta_{_{40}}$  is 135.685 pg/mL; <sup>†</sup>Cut off point for  $A\beta_{_{42}}$  is 6.055 pg/mL; <sup>†</sup>Cut off point for  $A\beta_{_{42}}/A\beta_{_{40}}$  ratio is 0.081

**Table 2.** Correlation between APOE $\epsilon$ 4 genotype with cognitive function

	ΑΡΟΕε4+		ΑΡΟΕε4+		ΑΡΟΕε4+				
Cognitive Function	Total		Age ≤70 years old			Age >70 years old			
	р	OR	95% CI	р	OR	95% CI	р	OR	95% CI
HC vs aMCI	0.196	2.59	0.47-15.09	0.507	1.85	0.19-17.52	0.236	4.5	0.22-274.78
HC vs AD	0.049	3.88	0.81-20.9	0.028	13	0.63-732.84	0.265	3.6	0.28-192.71
aMCI vs AD	0.549	1.5	0.33-7.02	0.124	7	0.32-418.61	0.808	0.8	0.09-7.51

AD= Alzheimer's disease; MCI= mild cognitive impairment; HC= healthy control

**Table 3.** Mean difference of plasma A $\beta$  in each cognitive function group

Cognitive Function -	Plasma A $\beta_{40}$ (pg/mL)		Plasma A $\beta_4$	<sub>2</sub> (pg/mL)	Rasio $A\beta_{42}/A\beta_{40}$		
	Mean±SD	р	Mean±SD	р	Mean±SD	р	
НС	116.8±90.3	0.239*	9.89±11.2	0.528*	0.13±0.24	0.651*	
aMCI	87.2±70.7	$0.257^{+}$	6.1±4.1	$0.142^{\dagger}$	$0.1 \pm 0.08$	0.093 <sup>+</sup>	
AD	151.6±126.3	0.034‡	6.3±0.94	0.568 <sup>‡</sup>	$0.07 \pm 0.07$	0.068 <sup>‡</sup>	

Abbreviations: AD= Alzheimer's disease; MCI= mild cognitive impairment; HC= healthy control

## DISCUSSION

The  $\varepsilon 4$  allele of APOE as the strongest genetic risk factor for AD has been confirmed by genomewide association studies.33,34 The presence of this allele is associated with increased risk for both early-onset AD and late-onset AD.<sup>35,36</sup> To our knowledge, investigation about the role of APOE ε4 in AD incidence among Indonesian has not been established. In population-based studies, the APOE ε4-AD association was weaker among African Americans ( $\varepsilon 4/\varepsilon 4$ , OR=5.7) and Hispanics ( $\varepsilon 4/\varepsilon 4$  $\epsilon$ 4, OR=2.2) and was stronger in Japanese people ( $\epsilon 4/\epsilon 4$ , OR=33.1) compared with Caucasian cases ( $\epsilon 4/\epsilon 4$ , OR=12.5).<sup>37</sup> In this study, the role of APOEE4 as strong genetic risk factor had been proven; showing an increased risk of up to fourfold for carrier APOEE4 carriers to have AD than non-carriers. This risk is increased into 13-fold for subjects with age group  $\leq 70$  years, indicating that APOEE4 confers dramatically increased risk of development of AD with an earlier age of onset.

The investigation of plasma Aβ species offers advantage over conventional method for measuring A $\beta$  level in the brain and CSF. Obtaining and analyzing plasma level is relatively inexpensive, minimally invasive, and can easily be performed at multiple time points. Therefore, a plasma-based biomarker for early detection and diagnosis of AD would be ideal. The utility of plasma  $A\beta$  as a potential AD biomarker had been assessed in previous studies, but the results have been inconsistent.<sup>38-41</sup> It is well established that CSF  $A\beta_{42}$  level decreased in conjunction with the cognitive decline, it has been postulated that plasma  $A\beta_{\scriptscriptstyle 42}$  may decrease similarly.^{42} Low plasma  $A\beta_{42}$  at baseline was associated with cognitive decline occurring during follow up.43 We found no statistical significance of differences in plasma  $A\beta_{_{42}} levels$  and  $A\beta_{_{42}}/A\beta_{_{40}} ratio$  among AD, MCI, and HC groups, but there is a tendency that both are lower in aMCI and AD subjects than in HC subjects. Interestingly, plasma  $A\beta_{40}$ is much higher in AD subjects compared to HC and aMCI subjects. Previous studies showed that Aβ levels varied highly in brains of patients with AD, and those with massive amyloid deposition contained predominantly  $A\beta_{40}.$  In some patients with sporadic AD, high plasma levels of  $A\beta_{40}$ may contribute to the accumulation of  $A\beta_{40}$  in preexisting plaques.44

We found a significant correlation between APOE $\epsilon$ 4+ and high plasma A $\beta_{42}$  level which more prominent in aMCI and AD groups. The APOEɛ4 has been associated with reduced AB clearance from the brain<sup>45</sup> and plasma<sup>46</sup> and with impaired tight junction integrity.47 Our data suggest that APOEɛ4 influences  $A\beta_{42}$  levels, but not  $A\beta_{40}$ level. Some studies have shown association between  $\epsilon 4$  allele with increased fibrillar  $A\beta^{22}$ and decreased soluble plasma  $A\beta_{42}$  in a dosedependent manner.<sup>30</sup> Discrepancies between our results and those reported by others may result from differences in patient populations, the use of different enzyme-linked immunosorbent assays and platforms, and timing of sample collection in relation to the stage of disease progression.

In conclusion, work summarized in this research highlights evidence for the association between APOE $\epsilon$ 4, plasma A $\beta$  level, and cognitive decline. APOE $\epsilon$ 4 is a strong genetic risk factor for AD among Indonesian people, particularly in age group  $\leq$ 70 years. APOE $\epsilon$ 4 seems to accelerate the rate and progression of AD through A $\beta$ dependent pathways. The exact mechanisms by which APOE $\epsilon$ 4 regulates A $\beta$  aggregation and deposition require further investigation.

### Acknowledgment

The authors would like to thank contributors who collected samples as well as patients and their families, whose participations and help make this work possible.

### **Conflict of interest**

The authors affirm no conflict of interest in this study.

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