Community Research

Risk factor mapping and case map of environmentally based disease in Yogyakarta

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ABSTRACT

BACKGROUND A geographic information system (GIS) is required to guide interventions into prevent acute respiratory infection (ARI) and reduce the incidence of cases. The purpose of this study is to find out whether there is spatial autocorrelation in the spread of ARI; to obtain spatial information about the ARI risk factors, the ARI case map, and the factors related to the occurrence of ARI.

METHODS This study is a quantitative research study with case-control study design. The sampling technique was purposive sampling. Spatial analysis techniques used were buffers and spatial clustering. The measurement of spatial autocorrelation was calculated by Moran's Index method.

RESULTS The risk factors for ARI based on the history of ARI disease were cough and cold in the last one year, and cough and cold lasting more than two weeks (OR = 15.691; 95% CI = 6.558-37.546 and OR = 6.645; 95% CI = 3.013-14.652). The risk factors for ARI based on the house physical environment were the room density, existence of glass windows on the house roof, electricity as a light source, presence of family members who smoke, and proximity to pollution exposure and waste disposal. Moran's Index value shows positive spatial autocorrelation.

CONCLUSIONS GIS produces ARI distribution patterns. Based on the results of the cluster, the incidence of ARI cases in this region are interrelated or one case with another case is closely related, due to its close position.

KEYWORDS case study, geographic information system, health risk assessment, infectious diseases, map

Acute respiratory infections (ARIs) are classified as upper respiratory tract infections (URIs) or lower respiratory tract infections. The upper respiratory tract consists of the airways from the nostrils to the vocal cords in the larynx, including the paranasal sinuses and the middle ear. The lower respiratory tract covers the continuation of the airways from the trachea and bronchi to the bronchioles and the

alveoli. ARIs are not confined to the respiratory tract and have systemic effects because of the possible extension of infection or microbial toxins, inflammation, and reduced lung function. Diphtheria, pertussis (whooping cough), and measles are vaccine-preventable diseases that may have a respiratory tract component but also affect other systems.¹

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ARI is one of the environmental based diseases often founded in developing countries (their mortality rate in toddlers is over 40 of 1,000 live births). Its incidence is 15–20% per year in toddlers. According to World Health Organization, approximately 13 million toddlers in the world die every year, and ARI is one of the major causes of death by killing 4 million toddlers annually. Indonesia is still burdened with environmentally based communicable diseases, such as dengue hemorrhagic fever, pulmonary tuberculosis (TB), malaria, diarrhea, ARIs, HIV/AIDS, filariasis, worms, skin diseases, poisoning, and health issues because of poor working environments. Based on Riset Kesehatan Dasar (RISKESDAS) in 2013, the number of pneumonia ARI cases tended to increase for the period when the prevalence of pneumonia of all ages rise from 2.1% in 2007 to 2.7% in 2013. The prevalence of pulmonary TB remains in the same position for both 2007 and 2013 at 0.4%. There was an increase in the prevalence of hepatitis in all age groups from 0.6% in 2007 to 1.2% in 2013. In contrast, there was a decrease in the period prevalence rate of diarrhea from 9.0% in 2007 to 3.5% in 2013.2

In Indonesia, Riskesdas in 2013 showed that the period prevalence of ARI based on the diagnosis of health personnel and community complaints was 25%. The incidence and the prevalence of ARI in Indonesia in 2013 were 1.8% and 4.5%, respectively. ARI risk factors can be divided into two groups: internal and external factors. Internal factors are conditions within the patient (toddler), which makes the individual easier to be exposed to ARI disease agents that include sex, birth weight, breastfeeding status, and immunization status. External factors are conditions that are outside the patient (toddler) in the form of physical, biological, social, and economic environment that make them easier to be exposed to disease agents, including: cigarette smoke pollution, kitchen smoke pollution, density of residence, geographical condition, ventilation of 10% of the floor area, and lighting.3

ARI disease in the working area of Puskesmas Imogiri I was one of the top 10 diseases but was not the most important in both 2016 and 2017. Based on the data of the top 10 diseases at Puskesmas Imogiri I, the most common disease in January-December 2016, was fever of unknown origin (2,400 people or 17.03%), and the most common disease in the community in

January–May 2017, was dyspepsia (807 people or 16.60%).

Prevention efforts can also be implemented by knowing the spatial distribution of the patients. Almost all Puskesmas in Bantul Regency process the data of ARI case distribution and ARI risk factors manually and semi-automatically, and their presence is still limited to the forms of tables and graphs. Moreover, there is no presentation in the form of maps. Therefore, a geographic information system (GIS) is required to create risk factor maps, case maps, and other activity maps, which ultimately helps to guide interventions to prevent ARI and reduce the incidence of cases.

Information exposure through a GIS can be used by the Puskesmas to obtain information and provide information for the community regarding the distribution of prone areas. A GIS is one of the health information systems that can generate spatial information about a particular situation so that it can assist in decision-making. Therefore, this research combined information from engineering and health sciences to create a disease map by utilizing the functionality of GIS.

Based on the above explanation, the aim of the study was to create a map of case distribution and risk factors of environment-based disease (ARI) in Bantul District and to find out factors related to ARI risk factors in toddlers. The selected ARI is URI.

METHODS

This study was a quantitative research study with epidemiologic case-control study design.⁶ The research was conducted in the working area of Puskesmas Imogiri Bantul. It covered four villages: Wukirsari, Imogiri, Karang Talun, and Girirejo. The population was 777 patients who went to Puskesmas Imogiri with complaints of nasopharyngitis ARI. Total sampling was used as the sampling method. There were two groups based on the following criteria: parents whose toddlers suffered from nasopharyngitis (170 toddlers as the case group), and parents whose toddlers were healthy or did not suffer from nasopharyngitis (170 toddlers as the control group).

Spatial analysis was performed to determine the distribution area of ARI. GIS software was used to reveal the exact location and distribution of ARI in toddlers. A chi-square test was performed to find out whether there was a correlation between two variables (nominal data), and, if so, its strength. The riskiest variables used an odds ratio (OR). Moran's Index was one of the spatial analysis techniques used to determine the presence of spatial autocorrelation between observation locations. In the significance test, a normal approach was used to determine whether there was a spatial autocorrelation. The value in the calculation of Moran's Index was between -1 and 1, and if the value was o, it meant that it was not in the group.

RESULTS

The distribution of ARI cases in toddlers based on risk factors is shown in Table 1. It showed that toddlers with a densely occupied room (>2 persons) were more numerous than toddlers with nonoccupancy (1-2 persons). The number of toddlers' rooms with non-permanent floors (not tiled yet) was bigger than that with permanent floors. There were more houses with non-permanent walls than those with permanent walls. There were more toddlers' rooms with bright light than those without bright light. There were more toddlers' rooms without glass windows on the roof than those with glass windows on the roof. The number of houses using electricity as the lighting source was higher than that which did not use electricity as the lighting source. Table 1 also shows that smoke from the kitchen mostly did not go into toddlers' rooms. Most toddlers' family members continued to smoke. Most toddlers' houses were away from highway pollution. Clean water was difficult to access in the ARI group; water reservoirs for daily activities were mostly open. The distance of septic tanks from the clean water source was mostly less than 10 m. Most toddlers' families had an absorbing well. Most toddlers' families did not destroy their garbage even though the garbage dump was typically less than 10 m from the house.

Table 1 shows the correlation between 15 indicators of house physical environment variables and ARI disease in toddlers. A correlation was seen from the value of Pearson's chi-square (λ^2) and the sign values of the room density, house floor type, light entering the house, light source, presence of smoking family members, proximity to pollution exposure, existence of a clean water source, condition of water reservoirs, distance of septic tank to clean water source, waste disposal behavior, sewerage conditions, existence of an absorbing well, and distance between the house and garbage dump. The indicators that did not show a correlation between house physical environment and ARI disease in toddlers were the house walls, existence of glass windows on the house roof, kitchen smoke, and behavior of waste destruction ($\lambda^2 = 3.034$ and sign = 0.082, λ^2 = <0.001 and sign = 0.986, λ^2 = 0.204 and sign = 0.652; λ^2 = 0.282 and sign = 0.595). Seven risk factors increased the incidence of ARI in toddlers: the room occupancy density, glass window on house roof, electricity source, presence of smoking family members, and proximity to both pollution exposure and waste destruction. It was seen from the value of the OR and confidence interval (CI) that the lower and upper limits were >1. The allegation was made stronger since the room occupancy density, house roof without glass windows, electricity source, presence of smoking family members, proximity to pollution exposure, and not performing waste destruction were risk factors of ARI. Ten risk factors reduced the risk of ARI incidence in toddlers. They were having permanent types of floors, permanent walls, lighting, a clean water source, a closed and clean garbage dump, a septic tank at a distance over 10 m, garbage thrown out appropriately, a closed sewage channel, an absorbing well, and the distance between the garbage dump and the clean water source over 10 m.

Table 2 shows the results of physical quality measurements in toddlers' rooms. It shows that the temperature of most toddlers' rooms temperature was unacceptable (<18°C or >30°C). The humidity of most rooms was good. The light source was mostly less than 60 lux. The room space was mostly <8 m² with a ventilation area ≥1/10 of the floor area. Table 2 shows that there was a relationship between toddlers' room temperature, humidity of most rooms, light source, room space, and ventilation area with ARI disease in toddlers (λ^2 = 19.018 and sign = <0.001, λ^2 = 29.224 and sign = <0.001, λ^2 = 74.558 and sign = <0.001, λ^2 = 12.815 and sign = <0.001, λ^2 = 46.364 and sign = <0.001). These five risk factors increased the incidence of ARI in toddlers. It was seen from the values of OR and CI that the lower and upper limits were >1. The allegation was made stronger since they were risk factors of ARI.

Table 3 shows the results of the distribution of ARI cases based on the history of ARI. It shows that most coughs, colds in the last one year and lasting under

two weeks, not exclusively breastfed, and incomplete immunization. It also indicates that there was a relationship between two indicators, the history of ARI and ARI disease, in toddlers in the working area of Puskesmas Imogiri 1 Bantul. This relationship was seen from the value of Pearson chi-square (λ^2) and the sign value of cough and cold in the last one year, and lasting over two weeks ($\lambda^2 = 57.647 \text{ sign} = < 0.001 \text{ and } \lambda^2 = 6.645$ and sign = <0.001). It was seen from the OR and CI lower limit and upper limit >1. The allegation was made stronger: since a toddler who had a cough and cold in the last one year and lasting for over two weeks were risk factors for ARI. The indicators that did not relate to the history of ARI were exclusive breastfeeding and immunization completeness ($\lambda^2 = 2.116 \text{ sign} = 0.146 \text{ and}$ λ^2 = 0.298 and sign = 0.585). These two risk factors reduced the risk of ARI in toddlers in the working area of Puskesmas Imogiri Bantul.

Table 1. Distribution of ARI cases based on the physical conditions of the house

Physical conditions of the house	ARI n (%), (n = 170)	No ARI n (%), (n = 170)	λ^2	OR	95% CI	р
Densely						
Yes	131 (77.06)	95 (55.88)	17.103	2.65	1.660-4.236	<0.001
No	39 (22.94)	75 (44.11)				
Permanent floor						
Yes	28 (16.47)	13 (7.64)	6.240	0.420	0.209-0.842	0.012
No	142 (83.53)	157 (92.35)				
Permanent walls						
Yes	12 (7.06)	5 (2.94)	3.034	0.399	0.137-1.158	0.082
No	158 (92.94)	165 (97.05)				
Bright light						
Yes	126 (74.12)	159 (93.53)	23.62	0.198	0.098-0.399	<0.001
No	44 (25.88)	11 (6.47)				
Glass windows on roof						
Yes	17 (10)	17 (10)	0.986	1.007	0.496-2.045	<0.001
No	153 (90)	152 (89.41)				
Light source						
Yes	6 (3.53)	0 (0)	6.108	2.037	1.826-2.272	0.013
No	164 (96.47)	170 (100)				
Smoke from kitchen						
Yes	49 (28.82)	45 (26.47)	0.204	1.116	0.693-1.796	0.652
No	121 (71.18)	124 (72.94)				
Family smokes						
Yes	117 (68.82)	76 (44.70)	20.145	2.730	1.752-4.255	<0.001
No	53 (31.18)	94 (55.29)				
Highway pollution						
Yes	65 (38.24)	34 (20)	13.695	2.476	1.522-4.029	<0.001
No	105 (61.76)	136 (80)				
Clean water						
Easy	8 (4.71)	1 (0.59)	5.592	0.120	0.015-0.969	0.018
Difficult	162 (95.29)	169 (99.41)				
Closed water reservoirs						
Yes	59 (34.71)	15 (8.82)	33.440	0.182	0.098-0.337	<0.001
No	111 (65.29)	155 (91.17)				
Distance of septic tank ≥10 m						
Yes	42 (24.71)	18 (10.59)	11.657	0.361	0.198-0.658	0.001
No	128 (75.29)	152 (89.41)				

Table 1. (Continued)

Physical conditions of the house	ARI n (%), (n = 170)	No ARI n (%), (n = 170)	λ^2	OR	95% CI	р
Garbage disposal						
Yes	34 (20)	38 (22.35)	0.282	1.152	0.684-1.939	0.595
No	136 (80)	132 (77.64)				
Infiltration wells						
Yes	72 (42.35)	34 (20)	19.794	0.340	0.210-0.552	<0.001
No	98 (57.65)	136 (80)				
Distance of garbage dump ≥10 m						
Yes	64 (37.65)	13 (7.64)	43.669	0.137	0.072-0.261	<0.001
No	106 (62.35)	157 (92.35)				

AR=acute respiratory infection; OR=odds ratio; CI=confidence interval

Table 2. Distribution of ARI cases based on physical quality measurements in toddlers' rooms

	ARI n (%), (n = 170)	No ARI n (%), (n = 170)	λ^2	OR	95% CI	р
Room temperature (°C)						
18–30	10 (5.88)	38 (22.35)	19.018	4.606	2.211-9.594	<0.001
<18 or >30	160 (94.11)	132 (77.65)				
Relative humidity (RH), (%)						
40–60	121 (71.18)	159 (93.53)	29.224	5.853	2.920-11.733	<0.001
<40 or >60	49 (28.82)	11 (6.47)				
Light source (lux)						
≥60	19 (11.17)	94 (55.29)	74.558	9.830	5.588-17.292	<0.001
<60	151 (88.82)	76 (44.71)				
Ventilation area (floor area)						
≥1/10	116 (68.24)	144 (84.71)	12.815	2.578	1.521-4.371	<0.001
<1/10	54 (31.76)	26 (15.29)				
Room space (m²)						
≥8	80 (47.06)	140 (82.35)	46.364	5.250	3.196-8.623	<0.001
<8	90 (52.94)	30 (17.65)				

ARI=acute respiratory infection; OR=odds ratio; CI=confidence interval

Spatial analysis

Figure 1 shows the result of a map of ARI case distribution in toddlers based on patient location. Buffering in the working area of Puskesmas Imogiri I Bantul is shown by black circles containing green dots. The spread of non-pneumonia ARI cases in toddlers is clustered at various locations around the Imogiri market (red pentagons). This pattern indicated that the market was a risky place for contracting ARI both inside and outside the market community. In addition, ARI cases also spread evenly around the working area of Puskesmas, especially in Karang Talun, Imogiri, and Wukirsari. The fewest cases were found in Girirejo.

Buffer analysis was done to identify areas around geographical features. For example, Figure 1 is a boundary area of 100 m. In this picture, many buffers that intersected (coincided) were compared with those that did not intersect with other ARI cases. This means there were indications that people with ARI transmit viruses to each other. The more buffers that intersect indicate an increased number of risk factors for ARI.

A spatial cluster shows a positive spatial autocorrelation when similar values are spatially clustered together. On the opposite side, the distribution has similar values but are separated/

Table 3. The distribution of ARI cases based on the history of ARI

	ARI n (%), (n = 170)	No ARI n (%), (n = 170)	λ^2	OR	95% CI	р
Cough and cold in the last 1 year						
Yes	164 (96.47)	108 (63.53)	57.647	15.691	6.558-37.546	<0.001
No	6 (3.53)	62 (36.47)				
Cough and cold lasting over 2 weeks						
Yes	42 (24.71)	8 (4.71)	27.106	6.645	3.013-14.652	<0.001
No	128 (75.29)	162 (95.29)				
Exclusive breastfeeding						
Yes	16 (9.41)	9 (5.29)	2.116	0.538	0.231-1.254	0.146
No	154 (90.59)	161 (94.71)				
Immunization completeness						
Complete	8 (4.71)	6 (3.53)	0.298	0.741	0.251-2.183	0.585
Incomplete	162 (95.29)	164 (96.47)				

ARI=acute respiratory infection; OR=odds ratio; CI=confidence interval

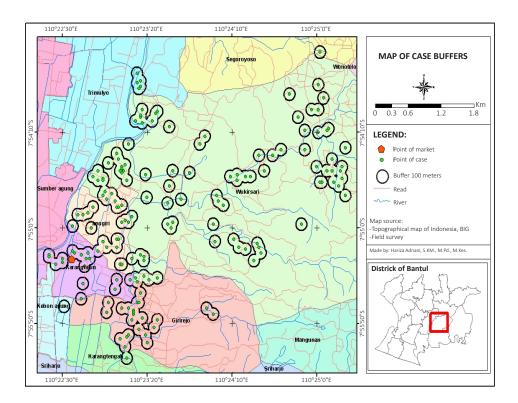


Figure 1. A map of acute respiratory infection case distribution in toddlers based on patient location

dispersed from each other; this is called a negative spatial autocorrelation.7 Spatial cluster analysis plays an important role in quantifying geographic variation patterns. This analytical method was commonly used disease surveillance, spatial epidemiology, population genetics, landscape ecology, crime analysis, and many other fields, but the underlying principles are the same.8 Clustering analysis is performed by placing a circle window on the study map according to the analysis and the specified model.

ARI is a disease that tends to cause clustering. Clustering occurs because most ARI sufferers tend to live in groups with individuals at risk of ARI so that increases the risk of ARI. This grouping makes it possible to detect differences in the incidence of cases between geographical locations.

Based on the coordinate distribution of cases, spatial clusters are made using the automatic correlation Index method that produces cluster analysis as in Figure 2. The conclusion is that case distribution occurs in clusters.

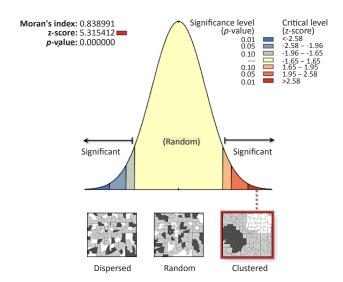


Figure 2. Moran's Index cluster

A case will occur in a cluster if the results of Moran's Index analysis indicate p < 0.1. The results of cluster analysis, in this case, have a p < 0.1 to produce a cluster. Based on the cluster results, it can be interpreted that the incidence of ARI cases in this region is interrelated or one case with another case is closely related because of its adjacent position. Moran's Index value = 0.838991 shows positive spatial autocorrelation, but a small correlation because the value is close to 0.

DISCUSSION

Based on the measurement results of the physical conditions of toddlers' rooms, there were some physical requirements for these rooms. Some rooms did not meet the requirements. Two requirements that were not fulfilled were room temperature and room lighting. They can be risk factors of ARI incidence in toddlers. The Regulation of Ministry of Health No. 1077/MENKES/PER/V/2011 regarding Guidelines for improving indoor air quality in the home state the physical requirements of a good room. A good room requires the temperature to be 18-30°C and lighting at a minimum of 60 lux.9 According to both Winslow and the American Public Health Association, the requirements of physiological needs of houses include lighting, ventilation, adequate space, and avoiding noise. The conditions of room humidity, room size, and ventilation size were mostly good (40-60% RH and $\geq 1/10$ of the floor area).

The study results showed that there was a correlation between the history of ARI and ARI disease in toddlers, and two risk factors increased ARI incidence in toddlers. The two risk factors were cough and cold in the last one year, and cough and cold lasting over two weeks. The factors that reduced the risk of ARI in toddlers were exclusive breastfeeding and complete immunization. The results of this study are in line with the study of Jackson et al10 that stated that the risk factors of ARI disease were a previous history of ARI, lack of exclusive breastfeeding, and incomplete immunization at one year. Dharmage et al11 stated that the risk factors for ARIs are the history of wheezing, and not being exclusively breastfed up to the completion of four months. In addition, breastfeeding is an important factor to prevent ARI. Azizi et al12 did not explain the exact duration of breastfeeding, but they only stated that breastfeeding should be for at least one month. The study by Deb stated that immunization played a protective role against pneumonia, breastfeeding pneumonia, and severe disease.13

The study results showed that there was a correlation between the variable of the house physical environment and ARI in toddlers. Risk factors increasing ARI incidence in toddlers were the room occupancy density, glass window on the house roof, electricity source, presence of smoking family members, and proximity to both pollution exposure and waste destruction. The results of this study are in line with the research of Dharmage et al¹¹ stated that the risk factors for ARIs were passive smokers and sharing of sleeping space. The study of Tupasi et al14 stated that household crowding was associated with URI. The study of Azizi et al¹² stated that home environment factors, especially crowding, could predispose to ARI, but air pollution was not a risk factor of ARI. In line with Azizi et al12 the research of Deb stated that air pollution was not a risk factor of ARI.13 The study of Cardoso et al¹⁵ stated that the house density was a risk factor of respiratory infection, but sharing a bedroom with the child did not relate to respiratory illnesses. Gergen et al¹⁶ stated that environmental tobacco smoke contributed to the respiratory health of children aged two months to five years. Contaminated environments, mainly affected by cigarette smoke, were also a risk factor of respiratory infections.¹⁷ The risk factors of ARI were indoor air pollutants and crowding-more than seven persons per household,

whereas parental smoking was also associated with ARI, but it was not significant.9 The risk factors of ARI were the social, economic, environmental, behavioral, and nutritional status. These included parents' education, settlement density, house type, house ventilation, illumination, and behaviors that produce an increasing amount of pollution in rooms such as smoking, and malnutrition. These are some of the factors contributing to the risks of ARI. In addition, the mother's breast milk also plays a role in the increase of respiratory tract diseases. 6,18 The study of Jedrychowski et al¹⁹ stated that ETS increases the susceptibility of children to ARI and that central heating in the household was shown to have a protective effect when compared with children from households where coal or gas was used for home heating.

Ten factors reduced the risk of ARI in toddlers. They were permanent floor types, permanent walls, lighting, existence of a clean water source, closed and clean garbage dumps, septic tank distance over 10 m, throwing the garbage in its place, a closed sewage channel, having an absorbing well, distance between the garbage dump and the clean water source over 10 m. The results of this study are in line with the research results of Suriyasa et al.20 They found that non-dirt floors decreased ARI incidence by 51% compared with dirt house floor. The research of Cardoso et al¹⁵ also supported that cases of respiratory illnesses would arise when the house did not meet the physical requirements (construction types, building materials, measurement of the floor area, wall height, windows, and solar orientation) and did not have adequate waste disposal.

Conclusions

The measurements of the physical conditions of the toddlers' rooms show that physical requirements that are not fulfilled are the room temperature and room lighting source. There is a correlation between the history of ARI and ARI in toddlers. Two risk factors increase the incidence of ARI in toddlers; they are cough and cold in the last one year and lasting for over two weeks. The factors that reduce the risk of ARI incidence in toddlers are exclusive breastfeeding and complete immunization. There is a correlation between the house physical environment and ARI disease in toddlers. Six risk factors increase ARI incidence in toddlers. They are the room occupancy density, glass

window on the house roof, electricity source, presence of smoking family members, and proximity to both pollution exposure and waste destruction. Ten factors reduce the risk of ARI in toddlers. They are permanent floor types, permanent walls, lighting, existence of a clean water source, closed and clean garbage dumps, a septic tank distance over 10 m, throwing the garbage in its place, a closed sewage channel, having an absorbing well, and a distance between the garbage dump and clean water source over 10 m. A pattern of ARI distribution can be seen because of the presence of location clustering of one patient to the other and the proximity of the patients' house to the market, road, or stream.

In conclusion, GIS produces a pattern of ARI distribution, the distance between patients, and the distance of the patient's house to the market, road, or river flow. Based on the cluster results, it can be interpreted that the occurrence of ARI cases in this region was interrelated or one case with another case was closely related because of their adjacent position.

Conflict of Interest

None of the authors entered as editorial board members or reviewers so that they were not involved in the review or decision-making process of the article. The authors state that this work was a case-control epidemiology study using primary data.

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